

Attorney's Docket No. 042390P11860

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Patent Application of:)
)
Jay H. Connelly) Examiner: Not Yet Assigned
)
Application No.: New Application) Art Unit: Not Yet Assigned
)
Filed: Herewith)
)
For: METHOD AND APPARATUS)
TO DISTRIBUTE CONTENT USING)
A MULTI-STAGE BROADCAST)
SYSTEM)
_____)

Assistant Commissioner for Patents
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 together with copies of the documents cited on that form. It is respectfully requested that the cited documents be considered and that the enclosed copy of Information Disclosure Citation Form PTO-1449 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).



Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

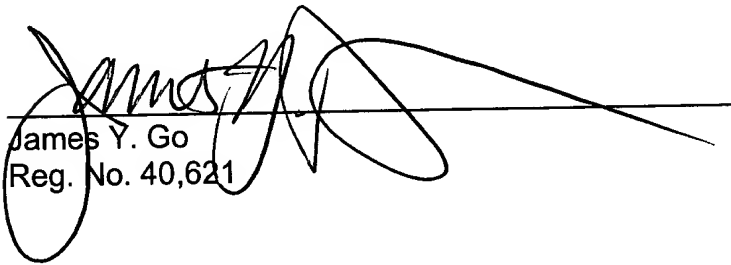
- ☒ 37 C.F.R. §1.97(b).
- ☐ 37 C.F.R. §1.97(c). If so, then enclosed with this Information Disclosure Statement is one of the following:
- ☐ A statement pursuant to 37 C.F.R. §1.97(e) or
- ☐ A check for \$180.00 for the fee under 37 C.F.R. § 1.17(p).
- ☐ 37 C.F.R. §1.97(d). If so, then enclosed with this Information Disclosure Statement are the following:
- (1) A statement pursuant to 37 C.F.R. §1.97(e); and
 - (2) A check for \$180.00 for the fee under 37 C.F.R. §1.17(p) for submission of the Information Disclosure Statement.

If there are any additional charges, please charge Deposit Account No.
02-2666.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: 6-15, 20 01


James Y. Go
Reg. No. 40,621

12400 Wilshire Blvd.
Seventh Floor
Los Angeles, CA 90025-1026
(425) 827-8600

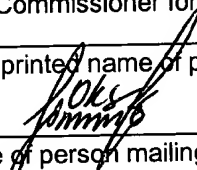
"Express Mail" mailing label number: EL431688886US

Date of Deposit: June 15, 2001

I hereby certify that I am causing this paper or fee to be deposited with the United States Postal Service "Express Mail Post Office to Addressee" service on the date indicated above and that this paper or fee has been addressed to the Assistant Commissioner for Patents, Washington, D. C. 20231

Rimma N. Oks

(Typed or printed name of person mailing paper or fee)


(Signature of person mailing paper or fee)

06-15-01

(Date signed)

Please type a plus sign (+) inside this box

PTO/SB/08A (10-96)

Approved for use through 10/31/99. OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO
(Modified by BSTZ 6/30/99)

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Complete if Known

Application Number	New Application
Filing Date	Herewith
First Named Inventor	Jay H. Connelly
Group Art Unit	Not Yet Assigned
Examiner Name	Not Yet Assigned
Attorney Docket Number	04239011860

Sheet 1 of 3

U.S. PATENT DOCUMENTS

Examiner Initials *	U.S. Patent Document Number	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Filing Date if Appropriate

FOREIGN PATENT DOCUMENTS

Examiner Initials *	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Translation? Yes/No
	Office or Country	Number	Date			

OTHER DOCUMENTS

Examiner Initials *	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published (if known).	Translation? Yes/No
	REPLAYTV, "What is ReplayTV?: Introducing the new ReplayTV 2020.", www.replaytv.com/overview/index.htm, March 21, 2000	
	REPLAYTV, "Features: Your time, your schedule...", www.replaytv.com/overview/features.htm, March 21, 2000	
	REPLAYTV, "Frequently Asked Questions: Will ReplayTV make me more popular? And other frequently asked questions." www.replaytv.com/overview/faqs.htm, March 21, 2000	
	REPLAYTV, "replay: features/benefits", www.replaytv.com/overview/details.htm, March 21, 2000	
	REPLAYTV, "Competitive Differences: ReplayTV Rules. Here's why...", www.replaytv.com/overview/differences.htm, March 21, 2000	

Examiner
Signature

Date
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Please type a plus sign (+) inside this box

PTO/SB/08A (10-96)

Approved for use through 10/31/99. OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO (Modified by BSTZ 6/30/99) INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				<i>Complete if Known</i>	
				Application Number	New Application
				Filing Date	Herewith
				First Named Inventor	Jay H. Connelly
				Group Art Unit	Not Yet Assigned
				Examiner Name	Not Yet Assigned
Sheet	2	of	3	Attorney Docket Number	042390P11860

U.S. PATENT DOCUMENTS					
Examiner Initials *		U.S. Patent Document	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Filing Date if Appropriate
		Number			

FOREIGN PATENT DOCUMENTS							
Examiner Initials *		Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Translation? Yes/No
		Office or Country	Number	Date			

OTHER DOCUMENTS		
Examiner Initials *	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published (if known).	Translation? Yes/No
	REPLAYTV, "Technical Specifications", www.replaytv.com/overview/techspecs.htm, March 21, 2000	
	TiVo, "What is TiVo?: A Better Way to Watch TV.", www.tivo.com/what/intro.html, March 21, 2000	
	TiVo, "What is TiVo?: Control LIVE TV.", www.tivo.com/what/intro2.html, March 21, 2000	
	TiVo, "What is TiVo?: Something GOOD is Always On.", www.tivo.com/what/intro3.html, March 21, 2000	
	TiVo, "What is TiVo?: Channel SURF in a Whole New Way." www.tivo.com/what/intro4.html, March 21, 2000	

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Please type a plus sign (+) inside this box

PTO/SB/08A (10-96)

Approved for use through 10/31/99. OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO (Modified by BSTZ 6/30/99) INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				<i>Complete if Known</i>	
				Application Number	New Application
				Filing Date	Herewith
				First Named Inventor	Jay H. Connelly
				Group Art Unit	Not Yet Assigned
Examiner Name	Not Yet Assigned				
Sheet	3	of	3	Attorney Docket Number	042390P11860

U.S. PATENT DOCUMENTS					
Examiner Initials *		U.S. Patent Document	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Filing Date if Appropriate
		Number			

FOREIGN PATENT DOCUMENTS							
Examiner Initials *		Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Translation? Yes/No
		Office or Country	Number	Date			

OTHER DOCUMENTS		
Examiner Initials *	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published (if known).	Translation? Yes/No
	TiVo, "What is TiVo?: DIGITAL Recordings without the Tape.", www.tivo.com/what/intro5.html, March 21, 2000	
	TiVo, "What is TiVo?: What You Want, When You Want It.", www.tivo.com/what/how.html, March 21, 2000	
	TiVo, "What is TiVo?: PRODUCT Specifications.", www.tivo.com/what/how2.html, March 21, 2000	
	TiVo, "Frequently asked QUESTIONS.", www.tivo.com/what/faq_sub.html, March 21, 2000	

Examiner Signature	Date Considered
-------------------------------	----------------------------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.